

# CIRCULAR

#### NSE

Applicability of Additional Surveillance Measure (ASM) Applicability of Short-Term Additional Surveillance Measure (ST-ASM) List of Securities moving to Stage III of Graded Surveillance Measure (GSM) SEBI Order in the matter of Future Retail Limited Interim order in the matter of Dezire Research SEBI order in the matter of R B Horticulture and Animal Project Limited Guidelines for 'Statement of Accounts' for Funds, Securities and Commodities Undertaking/Authorisation to Stock Exchanges and NSE Clearing Limited to access the information/statements pertaining to all bank accounts (maintained by the members) from Banks Applicability of Additional Surveillance Measure (ASM)

#### BSE

Applicability of Short Term Additional Surveillance Measure (ST-ASM) Graded Surveillance Measure (GSM) - List of securities moving into their respective GSM Stages Applicability of Surveillance Measure in respect of companies with high 'Encumbrance' as per Reg. 28(3) of SEBI (SAST) Regulation 2011 Action for Late/Partial/Non-submission of undertaking/authorization to Exchange to access the information/statements pertaining to all bank accounts (maintained by members) from Banks Relaxation in timeline for submission of Networth Certificate, Auditor's Report & Audited Annual Accounts for the financial year ended on 31/03/2020 by Clearing Members.

#### MCX

Application for Surrender of Membership

## ICEX

LAUNCH OF NFO - NIPPON INDIA NIFTY MIDCAP 150 INDEX FUND ON ICEXMF PLATFORM. LAUNCH OF NFO - NIPPON INDIA NIFTY 50 VALUE 20 INDEX FUND ON ICEXMF PLATFORM.

#### NCDEX

Mock Trading: Product Upgrade - Updated Application Programing Interface (API).

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## NSE IFSC

Mock trading (Contingency) on Saturday, February 06, 2021

## **INDIA INX**

Reporting of data on OTC derivatives undertaken by the BUs Relaxation in timeline to submit Networth certificate, Auditor's Report & Audited Annual Accounts for the financial year ended on March 31, 2020 by Clearing Members Mock Trading on Saturday, February 06, 2021 Revision to contract size for Indian Single Stock Futures & Options contract

# SEBI

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